

414 Rec'd PCT/PTO 03 NOV 2000

UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Group:

Attorney Docket # 1324

Applicant(s): LAUMEN, J., ET AL

Serial No.: 09/623,946

Filed: 09/12/00

For : APPARATUS AND METHOD FOR ENCODING
AND DECODING DATA

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

October 31, 2000

Sir:

The subject application was filed without the signature of the inventors and in the German language.

Declaration papers executed by the inventors and a Certified English Translation are submitted herewith.

It is respectfully requested that the required fee be charged to the account of the undersigned (19-4675).

Respectfully submitted,

Box: PCT

<p>Certificate of Mailing</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p>On <u>10/31/00</u></p> <p><i>[Signature]</i></p>

[Signature]
Michael J. Striker
Attorney for Applicant(s)
Reg. No. 27233

11/06/2000 AGIZAW 00000057 194675 09623946

01 FC:156
02 FC:154

130.00 CH
130.00 CH

09/623946

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VERIFICATION OF TRANSLATION

I, DAVID CLAYBERG

of 948 15th St., Ste. 4
Santa Monica, CA 90403-3134

declare that I am a certified translator well acquainted with both the German and English languages, and that the attached is an accurate translation, to the best of my knowledge and ability, of the German Patent Application **PCT/DE 99/02686**.

Signature


David Clayberg

David Clayberg

Date _____

20 OCT 2020

[illegible]



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Box PCT

Washington, D.C. 20231

J

1324

U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

5071

PCT/DE99/02606

STRIKER STRIKER & STENBY
103 EAST NECK ROAD
HUNTINGTON NY 11743

INTERNATIONAL APPLICATION NO.

I.A. FILING DATE

PRIORITY DATE

DATE MAILED:

10/20/00

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

☐ a Designated Office (37 CFR 1.494),

☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☒ a non-English language.

☐ English.

☐ Translation of the international application into English.

☒ Oath or Declaration of Inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☐ Preliminary amendment(s) filed _____ and _____.

☐ Information Disclosure Statement(s) filed _____ and _____.

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed _____.

☐ Verified Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☒ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☒ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached ~~PCT/DO/EO/17~~ *unperfected*

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

Enclosed:

☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Patricia Booker, Paralegal

Telephone: 703-305-3738

The PTO did not receive the following
listed item(s):
No check 9/26/00